Amy Rothstein File Last Updated: 3/10/00

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I. <u>Profession</u>	Accrediting Agency or Jurisdiction	Date of Admission
Attorney	First Department E.D.N.Y. S.D.N.Y.	January 1973 August 1973 August 1973

Second Circuit 1977

Active/Inactive II. Professional Organization Date of Admission

Association of the Bar 1995 Active

City of New York

N.Y. County Lawyers' 1995 Active

Association

Society of Professionals in

Dispute Resolution 2000

III. General professional experience:

I have practiced law for approximately twenty-seven years. My areas of practice are litigation, corporate compliance and alternate dispute resolution. I serve as a Special Master to the Hon. Beatrice Shainswit of New York Supreme Courts Commercial Division and as an Independent Hearing Officer for the New York State Banking Department. I attach my resume for more complete information.

IV. General pertinent experience:

I have been a mediator for more than three years, during which time I have mediated more than 20 cases for: the U.S. District Courts, Southern and Eastern Districts of New York; New York Supreme Court, New York County, Commercial Division; the National Association of Securities Dealers and the New York Stock Exchange. I serve on the ADR Committees of both the City Bar and the New York County Lawyers' Association. A list of mediations in which I have been involved is attached.

V. Mediation training:

I have participated in several mediation training programs, described below:

- 1. S.D.N.Y. Bankruptcy Court and NASD sponsored course on February 1996, given by Institute for Conflict Management (24 hours);
- 2. S.D.N.Y. District Court training, December 9-10, 1997 (16 hours); and
- 3. N.Y. Supreme Court, Commercial Division training by JAMS/Endispute (Michael Young), June 9, 1997 (8 hours).
- 4. Sexual Harassment Mediation Training conducted by the CUNY Dispute Resolution Consortium, January 12, 2000 (4 hours)

I have also served several times as a facilitator/mediation coach in training programs sponsored by the Association of the Bar of the City of New York and by the New York Supreme Court=s Commercial Division.

VI. Pertinent bankruptcy training:

None.

VII. General pertinent business or legal experience:

I serve as counsel to a court-appointed Monitor of several small corporations and therefore have experience overseeing the day-to-day functioning of businesses, including the management of their debt. My experience as an Assistant United States Attorney in the Southern Districts Civil Division also gave me some exposure to trusteeship.

LIST OF MEDIATIONS BY AMY ROTHSTEIN

Rubin v. Home Depot U.S.A., Inc. 96 Civ. 3765 (E.D.N.Y.)

Attorney for Plaintiff: Sondra K. Pardes, Esq.

Preston, Pardes & Wooley 2 Robbins Lane, Suite 210 Jericho, New York 11753

Attorney for Defendant: Patrick G. Brady, Esq.

Carpenter, Bennett & Morrissey

Three Gateway Center 100 Mulberry Street

Newark, New Jersey 07102

(973) 622-7711

Settled after one session and numerous telephone conferences. (Session held on December 10, 1996; settled on February 14, 1997.)

Peretz v. Manhasset Diagnostic Imaging, P.C. 96 Civ. 0955 (E.D.N.Y.)

Attorney for Plaintiff: Richard V. Rappaport, Esq.

100 Garden City Plaza, Suite 202 Garden City, New York 11530

(516) 739-1166

Attorney for Defendant: Robert S. Nayberg, Esq.

Law Offices of Martin H. Scher One Old Country Road, Suite 385 Carle Place, New York 11514

(516) 746-5040

Settled after two sessions (May 7, 1997 and July 8, 1997).

Manfre v. Sky Chefs, Inc. 95 Civ. 3997 (E.D.N.Y.)

Attorney for Plaintiff: Jordan M. Hyman, Esq.

10 East Merrick Road, Suite 205 Valley Stream, New York 11580

(516) 823-9301

Attorney for Defendant: Ronald M. Gaswirth, Esq.

Gardere & Wynne, LLP 1601 Elm Street, Suite 3000

Dallas, Texas 75201 (214) 999-4601

Settled in one session held on September 26, 1997.

Harewood v. Insurance Medical Reporters, Inc. et al. 96 Civ. 2504 (E.D.N.Y.)

Attorney for Plaintiff: Raymond Nardo, Esq.

129 Third Street

Mineola, New York 11501

(516) 248-2121

Attorney for Defendant: Andrew M. Calamari, Esq.

Calamari & Saltarelli 420 Lexington Avenue

New York, New York 10170

(212) 856-6440

Settled in one session held on October 7, 1997.

Werner v. Quality King Distributors 97 Civ. 0096 (E.D.N.Y.)

Attorney for Plaintiff: Raymond Nardo, Esq.

129 Third Street

Mineola, New York 11501

(516) 248-2121

Attorney for Defendant: Mark J. Goldberg, Esq.

Edwards & Angell 750 Lexington Avenue New York, New York 10022

(212) 308-4411

One session on November 26, 1997. Did not settle.

Kron v. Mass. Casualty Insurance Co. 97 Civ. 3555 (E.D.N.Y.)

Attorney for Plaintiff: Harold Skovronsky, Esq.

1810 Avenue "N"

Brooklyn, New York 11230

(718) 336-8886

Attorney for Defendant: Michael J. Zaretsky

Chorpenning, Good, Carlet & Garrison

888 Seventh Avenue, 44th Floor New York, New York 10106-4499

(212) 869-2147

Settled in one session held on December 17, 1997.

Bhatti v. United States 97 Civ. 3754 (S.D.N.Y.)

Attorney for Plaintiff: Andrew G. Rothstein, Esq.

67 Wall Street, Suite 2411 New York, New York 10005

(212) 772-8580

Attorney for Defendant: Amy Baker Benjamin, Esq. Assistant U.S. Attorney

Civil Division

100 Church Street, 19th Floor New York, New York 10007

(212) 385-4379

Settled eleven days after one session, held January 15, 1998.

<u>DiVito v. Boro Lumber Co., Inc.,</u> <u>Gregory Sussek & John Sussek, Jr.</u> 104019/97 (N.Y. Supreme, Commercial Division)

Attorney for Plaintiff: Janet D. Slavin, Esq.

24 West 40th Street, 6th Floor New York, New York 10018

(212) 719-3300

Attorney for Defendants: Gregg J. Borri, Esq.

900 Third Avenue, Suite 1200 New York, New York 10022-4757

(212) 980-8866

Settled on March 20, 1998, after two sessions.

Morales v. City of New York 97 Civ. 8059 (S.D.N.Y.)

Attorney for Plaintiff: Robert David Goodstein, Esq.

Goodstein & West

56 Harrison Street, Suite 401 New Rochelle, New York 10891

(914) 632-8382

Attorney for Defendant: Kerri L. Jew, Esq.

Assistant Corporation Counsel

City of New York 100 Church Street

New York. New York 10007

(212) 788-0885

Settled on July 28, 1998, after two sessions and several telephone calls.

Mansour v. Phillip Morris Management Corp. 98 Civ. 310 (E.D.N.Y.)

Attorney for Plaintiff: Frank Burke, Esq.

Mangines & Mangines 1375 Kings Highway East Fairfield, CT 06430

(203) 336-0887

Attorney for Defendant: Catherine Crane, Esq.

Collazo Carling & Mish, LLP

747 Third Avenue

New York, New York 10017

(212) 758-7600

Settled three days after one session, held September 17, 1998.

Vroman v. A.G. Edwards, Inc.

Docket No. 98-665 (NASD Regulation, Inc.)

Attorney for Claimant: Peter E. Fleming, Esq.

O'Rourke, O'Hanlan & Zimmermann, LLP

One Canterbury Green Stamford, CT 06901 (203) 352-2999

Attorney for Respondent: Clay L. Grumke, Esq.

Associate Vice President

and Counsel

A.G. Edwards & Sons, Inc.

One North Jefferson St. Louis, Missouri 63103

(314) 955-5327

Settled in one session on December 15, 1998.

Firestone v. Garfinkel

Docket No. 93-5408 (NASD Regulation, Inc.)

Attorney for Claimant: Arthur P. Fisch, Esq.

111 Fulton Street

New York, New York 10038

(212) 349-6700

Attorney for Respondent: Lawrence Iason, Esq.

Morvillo Abramowitz Grand Iason

& Silberberg, P.C. 565 Fifth Avenue

New York, New York 10017

(212) 856-9600

Settled in one session on January 12, 1999.

<u>Lensky v. Metropolitan Life Insurance Company</u> 98 Civ. 5241 (S.D.N.Y.)

Attorney for Plaintiff: Ira A. Turret, Esq.

Field, Lomenzo & Turret P.C. 205 Lexington Avenue New York, New York 10016

(212) 684-7330

Attorney for Defendant: Leslie Reider, Esq.

Kasowitz, Benson, Torres & Friedman LLP

1301 Avenue of the Americas New York, New York 10019

(212) 506-1700

Settled in one session on February 3, 1999.

The Board of Managers of Landmark Residence v. Lone Oak-Oak Beach Corp. Index No. 118303/98 (N.Y. Sup. Ct., Commercial Division)

Attorney for Plaintiff: Steven E. Stein, Esq.

401 Broadway

New York, New York 10013

(212) 226-5790

Attorney for Defendant Mary D. Dorman, Esq.

Nicholas H. Rozos, Esq. 584 Broadway, Suite 1006 New York, New York 10012

(212) 941-1202

Settled in one session on March 1, 1999.

Ogan v. Maimonides Medical Center 98 CV 5604 (JBW) (E.D.N.Y.)

Attorney for Plaintiff: Norman Mednick, Esq.

Law Offices of Leonard M. Flamm 880 Third Avenue, Suite 1300 New York, New York 10022

(212) 752-3380

Attorney for Defendant: Richard A. Levin, Esq.

Proskauer Rose LLP 1585 Broadway

One session on March 17, 1999. Did not settle.

Transamerica Home Loan v. ITT Industries, Inc. 98 Civ. 9694 (SAS) (S.D.N.Y.)

Attorney for Plaintiff: Andrew C. Houston, Esq.

Wachtell, Lipton, Rosen & Katz

51 West 52nd Street

New York, New York 10019

(212) 403-1000

Attorney for Defendant: Robert Goodman, Esq.

Simpson Thacher & Bartlett 425 Lexington Avenue New York, New York 10017

(212) 455-2000

One session on March 24, 1999. Did not settle.

Rossman v. Amboy Bus Co. et al. 97 CV 05101 (ERK) (E.D.N.Y.)

Attorney for Plaintiff: Anne L. Clark, Esq.

Vladek, Waldman, Elias & Engelhard, P.C.

1501 Broadway

New York, New York 10036

(212) 403-7300

Attorney for Defendant: Michael Campton Miller, Esq.

Fritz & Miller 565 Fifth Avenue

New York, New York 10017

129th Street Realty Corp. v. 418 West 130th Street LLC

Index No. 103288/98 (N.Y. Sup. Ct., Commercial Division)

Attorney for Plaintiff: Neil A. Bloom, Esq.

Shanker & Associates 1560 Broadway, Suite 711 New York, New York 10036

(212) 730-4000

Attorney for Defendant: Gerald Neal Swartz, Esq.

767 Third Avenue

New York, New York 10017

(212) 421-9292

One session on August 25, 1999; mediation may continue after discovery.

Greenfield v. Bear Stearns & Co., Inc.

Docket No. 1998-007416 (New York Stock Exchange)

Attorney for Claimant: Michael E. Grenert, Esq.

Liddle & Robinson 685 Third Avenue

New York, New York 10017

(212) 687-8500

Attorney for Respondent: Kevin B. Leblang, Esq.

Kramer Levin Naftalis & Frankel

919 Third Avenue

New York, New York 10022

(212) 715-9100

Settled in one session on August 31, 1999.

Arnett et al. v. Conquest Industries, Inc. et al. Index No. 600469/96 (N.Y. Sup. Ct., Commercial Division)

Attorney for Plaintiffs Stephen G. Rhinehart, Esq.

Parker Chapin Flattau & Klimpl, LLP

1211 Avenue of the Americas New York, New York 10036

(212) 704-6000

Attorney for Defendants: Rachell Sirota, Esq.

Sirota & Sirota LLP 747 Third Avenue

New York, New York 10017

(212) 759-5555

One session on October 11, 1999. Did not settle.

<u>Caporale v. Salomon Smith Barney Inc. et al.</u> Docket No. 1998-7336 (New York Stock Exchange)

Attorney for Claimant: Joseph Keenan, Esq.

61 Broadway, Suite 2708 New York. New York 10006

(212) 809-4273

Attorney for Respondent: Sean Coughlin, Esq.

Solomon Smith Barney

388 Greenwich Street, 19th Floor New York, New York 10013

(212) 816-8263

One session on November 11, 1999. Did not settle.

Friedlander et al. v. Roberts et al. 98 Civ. 1684 (RMB) 172 White Mountain Ltd. v. City of New York et al. 98 Civ. 8007 (RMB)

Attorneys for Plaintiffs

in Action No. 1: Alan Fuchsberg, Esq.

Bruce R. Calderon, Esq.

The Jacob D. Fuchsberg Law Firm, LLP.

500 Fifth Avenue

New York, New York 10100

(212) 869-3500

Richard D. Emery, Esq.

545 Madison Avenue, 3rd Floor New York, New York 10022

(212) 759-2111

Attorneys for Municipal

Defendants: Hillary Wiseman, Esq. and Charles Olstein, Esq.

Assistants Corporation Counsel

100 Church Street

New York, New York 10007 (212) 788-0819 and 791-9214

Attorney for Defendant

172 White Mountain et al: Edward Fogarty, Jr. Esq.

White & McSpedon, P.C. 875 Avenue of the Americas New York, New York 10001

(212) 564-6633

Attorney for Plaintiff

in Action # 2: Daniel C. Marotta, Esq.

Dowd & Marotta

277 Broadway, Suite 1310 New York, New York 10007

(212) 349-3951

Attorneys for Municipal

Defendants: See Action # 1

Attorney for Defendant

(Insurer)in Action # 2: Patti F. Potash, Esq.

White, Fleischner & Fino

140 Broadway

New York, New York 10005

(212) 487-9700

Mediation ongoing; Action # 2 settled between plaintiff and insurer

<u>Sangeorzan v. Josephthal & Co., Inc. and Montague</u> Docket No. 99-01256 (National Association of Securities Dealers)

Attorney for Claimant: Adam J. Greenberg, Esq.

Sobol & Greenberg, P.C.

164 Madison Avenue, Third Floor New York, New York 10016

(212) 686-8484

Attorney for Respondent: John E. Bersin, Esq.

Josephthal & Co., Inc.

200 Park Avenue, 25th Floor New York, New York 10166

Settled in one session on February 16, 2000

Granahan v. Republic New York Securities Corporation Docket No. 1999-007824

Attorney for Claimant: Melvyn J. Falis, Esq.

Gusrae, Kaplan & Bruno

120 Wall Street

New York, New York 10005

(212) 269-1400

Attorney for Respondent: James E. Hough, Esq.

Morrison & Foerster LLP 1290 Avenue of the Americas New York, New York 10104

Settled in one session on February 25, 2000